# 12. Operating System<sup>1</sup>

Civilization progresses by extending the number of operations that we can perform without thinking about them.

(Alfred North Whitehead, Introduction to Mathematics, 1911)

In previous chapters of this book we described and built the hardware architecture of a computer platform, called *Hack*, and the software hierarchy that makes it usable. In particular, we introduced an object-based language, called *Jack*, and described how to write a compiler for it. Other high-level programming languages can be specified on top of the Hack platform, each requiring its own compiler.

The last major interface missing in this puzzle is an *operating system*. The OS is designed to close gaps between the computer's hardware and software systems, and to make the overall computer more accessible to programmers and users. For example, in order to render the text "Hello World" on our computer's screen, several hundred pixels must be drawn at specific screen locations. This can be done by consulting the hardware specification and writing code that puts the necessary bits in the RAM-resident screen memory map. Needless to say, high-level programmers will expect something better than that. They will want to use a command like printString("Hello World"), and let someone else worry about the details. And that's where the operating system enters the picture.

Throughout this chapter, the term "operating system" is used rather loosely. In fact, the OS services that we describe comprise an operating system in a very minimal fashion, aiming at (i) encapsulating various hardware-specific services in a software-friendly way, and (ii) extending high-level languages with various functions and abstract data types. The dividing line between an operating system in this sense and a standard language library is not very clear. Indeed, some modern languages, most notably Java, tend to pack many classic operating system services like GUI management, memory management and multi-tasking in its standard software library, along with many language extensions.

Following this pattern, the collection of services that we specify and build in this chapter can be viewed as a combination of a simple operating system and a standard library for the Jack language. This OS is packaged as a collection of Jack classes, each providing a set of related services via Jack subroutine calls. The resulting OS has many features resembling those of industrial strength operating systems, but it still lacks numerous OS features such as process handling, disk management, communications, and more.

Operating systems are usually written in a high level language and compiled into binary form, just like any other program. Our OS is no exception -- it can be written completely in Jack. Yet unlike other programs written in high-level languages, the operating system code must be aware of the hardware platform on which it runs. In other words, in order to hide the gory hardware details from the application programmer, the OS programmer must write code that manipulates these details directly (a task that requires access to the hardware documentation). Conveniently,

<sup>&</sup>lt;sup>1</sup> From *The Elements of Computing Systems* by Nisan & Schocken (draft ed.), MIT Press, 2005, <u>www.idc.ac.il/tecs</u>

The chapter starts with a relatively long *Background* section, describing key algorithms normally used to implement basic operating system services. These include mathematical functions, string operations, memory management, handling text and graphics output to the screen, and handling inputs from the keyboard. This algorithmic introduction is followed by a *Specification* section, providing the complete API of the Jack OS, and an *Implementation* section, describing how to build the OS using the classic algorithms presented earlier. As usual, the final *Project* section provides all the necessary project materials for gradual construction and unit-testing the entire OS presented in the chapter.

The chapter embeds two key lessons, one in software engineering and one in computer science. First, we complete the construction of the high-level language, compiler, and operating system trio. Second, since operating system services must execute efficiently, we pay attention to running time considerations. The result is an elegant series of algorithms, each being a computer science gem.

# 12.1 Background

# **12.1.1 Mathematical Operations**

Computer systems must support mathematical operations like addition, multiplication, and division. Normally, addition is implemented in hardware, at the ALU level, as we have done in Chapter 3. Other operations like multiplication and division can be handled by either hardware or software, depending on the computer's cost/performance requirements. This section shows how multiplication, division, and square root operations can be implemented efficiently in software, at the operating system level. It should be noted that hardware implementations of these mathematical operations are based on the same algorithms presented below.

# **Efficiency First**

Mathematical algorithms operate on *n*-bit binary numbers, with typical computer architectures having n=16, 32 or 64. As a rule, we seek algorithms whose running time is proportional (or at least polynomial) in this parameter *n*. Algorithms whose running time is proportional to the *value* of *n*-bit numbers are unacceptable, since these values are exponential in *n*. For example, suppose we implement the multiplication operation  $x \cdot y$  using the repeated addition algorithm for  $i = 1 \dots y$  {result = result + x}. Well, the problem is that in a 64-bit computer, *y* can be greater than 18,000,000,000,000,000,000, implying that this naïve algorithm may run for years even on the fastest computers. In sharp contrast, the running time of the multiplication algorithm that we present below is proportional not to the multiplicands' value, which may be as large as  $2^n$ , but rather to *n*. Therefore, it will require only  $c \cdot n$  elementary operations for *any pair of multiplicands*, where *c* is a small constant representing the number of elementary operations performed in each loop iteration.

We will use the standard "Big-Oh" notation, O(n), to describe the running time of algorithms. Readers who are not familiar with this notation can simply read O(n) as "in the order of magnitude of n". With that in mind, we now turn to present an efficient multiplication  $x \cdot y$  algorithm for *n*-bit numbers whose running time is O(n) rather than O(x) or O(y), which are exponentially larger.

### **Multiplication**

Consider the standard multiplication method taught in elementary school. To compute 356 times 27, we line up the two numbers one on top of the other. Next, we multiply each digit of 356 by 7. Next, we "shift to the left" one position, and multiply each digit of 356 by 2. Finally, we sum up the columns and obtain the result. The binary version of this technique -- Algorithm 12.1 -- follows exactly the same logic.

#### Long multiplication

**multiply**(
$$x$$
,  $y$ ):  
// Where  $x$ ,  $y \ge 0$   
 $sum = 0$   
 $shiftedX = x$   
for  $j = 0...(n-1)$  do  
if ( $j$ -th bit of  $y$ ) = 1 then  
 $sum = sum + shiftedX$   
 $shiftedX = shiftedX * 2$ 



Algorithm 12.1 performs O(n) addition operations on *n*-bit numbers, where *n* is the number of bits in *x* and *y*. Note that *shiftedX* \* 2 can be efficiently obtained by either left-shifting its bit representation or by adding *shiftedX* to itself. Both operations can be easily performed using primitive ALU operations. Thus Algorithm 12.1 lends itself naturally to both software and hardware implementations.

A comment about notation: The algorithms in this chapter are written using a self-explanatory pseudo-code syntax. The only non-obvious convention is that we use indentation to represent blocks of code (avoiding curly brackets or begin/end keywords). For example, in Algorithm 12.1,

sum=sum+shiftedX belongs to the single-statement body of the *if* statement whereas shiftedX=shiftedX\*2 ends the two-statement body of the *for* statement.

### Division

The naïve way to compute the division of two *n*-bit numbers x / y is to repeatedly subtract y from x until it is impossible to continue (i.e. until x < y). The running time of this algorithm is clearly proportional to the quotient, and may be as large as O(x), i.e. exponential in the number of bits n. To speed up this algorithm, we can try to subtract large chunks of y's from x in each iteration. For example, if x=891 and y=5, we can tell right away that we can deduct a hundred 5's from x and the remainder will still be greater than 5, thus shaving 100 iterations from the naïve approach. Indeed, this is the rationale behind the school method for long division x / y. Formally, in each iteration we try to subtract from x the largest possible shift of y, i.e.  $y \cdot T$  where T is the largest power of 10 such that  $y \cdot T \le x$ . The binary version of this opportunistic algorithm is identical, except that T is a power of 2 instead of 10.

Writing down this long division algorithm as we have done for multiplication is an easy exercise. We find it more illuminating to formulate the same logic as a recursive program that is probably easier to implement.

```
divide (x, y):

// Integer part of x / y, where x \ge 0 and y > 0

if y > x return 0

q = divide(x, 2 * y)

if (x - 2 * q * y) < y

return 2 * q

else

return 2 * q + 1
```

### ALGORITHM 12.2: Division.

The running time of this algorithm is determined by the depth of the recursion. Since in each level of recursion the value of y is multiplied by 2, and since we terminate once y>x, it follows that the recursion depth is bounded by n, the number of bits in x. Each recursion level involves a constant number of addition, subtraction, and multiplication operations, implying a total running time of O(n) such operations.

Algorithm 12.2 may be considered sub-optimal since each multiplication operation also requires O(n) addition and subtraction operations. However, careful inspection reveals that the product 2\*q\*y can be computed without any multiplication. Instead, we can rely on the value of this product in the previous recursion level, and use addition to establish its current value.

### **Square Root**

Square roots can be computed efficiently in a number of different ways, e.g. using the Newton-Raphson method or a Taylor series expansion. For our purpose though, a simpler algorithm will suffice. The square root function  $y = \sqrt{x}$  has two convenient properties. First, it is monotonically increasing. Second, its inverse function,  $x = y^2$ , is something that we already know how to compute (multiplication). Taken together, these properties imply that we have all we need to compute square roots using *binary search*. Algorithm 12.3 gives the details.

### sqrt(x):

// Compute the integer part of  $y = \sqrt{x}$ . Strategy: // Find an integer y such that  $y^2 \le x < (y+1)^2$  (for  $0 \le x < 2^n$ ) // By performing a binary search in the range  $0 \dots 2^{n/2} - 1$ . y = 0for  $j = n/2 - 1 \dots 0$  do if  $(y+2^j)^2 \le x$  then  $y = y+2^j$ return y

#### ALGORITHM 12.3: Square root computation using binary search.

Note that each loop iteration takes a constant number of arithmetic operations. Since the number of iterations is bound by n/2, the algorithm's running time is O(n) arithmetic operations.

### 12.1.2 String representation of numbers

Computers represent numbers internally using binary codes. Yet humans are used to dealing with numbers in a decimal notation. Thus, when humans have to read or input numbers, and only then, a conversion to or from decimal notation must be performed. Typically, this service is implicit in the character handling routines supplied by the operating system. We now turn to describe how these OS services are actually implemented.

Of course the only subset of characters which is of interest here are the ten digit symbols that represent actual numbers. The ASCII codes of these characters are as follows:

Character:	<b>'</b> 0'	'1'	'2'	'3'	'4'	'5'	'б'	'7'	<b>'</b> 8'	<b>'</b> 9'
ASCII code:	48	49	50	51	52	53	54	55	56	57

As gleaned from the ASCII code, single digit characters can be easily converted into their numeric representation, and vice versa, as follows. To compute the ASCII code of a given digit  $0 \le x \le 9$ , we can simply add x to 48 – the code of '0'. Conversely, the numeric value represented by an ASCII code  $48 \le c \le 57$  is obtained by *c*-48. And once we know how to convert single

digits, we can proceed to convert any given integer. These conversion algorithms can be based on either iterative or recursive logic, so we present one of each.

// Convert a non-negative number to a string	// Convert a string to a non-negative number				
int2String(n):	<pre>string2Int(s):</pre>				
lastDigit = n % 10	v = 0				
c = character representing lastDigit	for $i = 1 \dots$ length of <i>s</i> do				
if <i>n</i> < 10	d = integer value of the digit $s[i]$				
return c (as a string)	v = v * 10 + d				
else	return v				
return int2String( <i>n</i> / 10).append( <i>c</i> )	<pre>// (Assuming that s[1] is the most // significant digit character of s.)</pre>				

ALGORITHMS 12.4 and 12.5: String-numeric conversions

### 12.1.3 Memory Management

**Dynamic Memory Allocation:** Computer programs declare and use all sorts of variables, including simple data items like integers and booleans and complex ones like arrays and objects. One of the greatest virtues of high-level languages is that programmers don't have to worry about allocating RAM space to these variables and recycling the space when it is no longer needed. Instead, all these memory management chores are done behind the scene by the compiler, the operating system and the virtual machine implementation. This section describes the role of the operating system in this joint effort.

Different variables are allocated memory at different points of time during the program's life cycle. For example, *static variables* may be allocated by the compiler at compile time, while *local variables* are allocated on the stack by the VM implementation each time a subroutine starts running. Other memory is dynamically allocated during the program's execution, and that's where the OS enters the picture. For example, each time a Java program creates a new array or a new object, a memory block whose size can be determined only during run-time should be allocated. And when the array or the object is no longer needed, its RAM space may be recycled. In some languages like C++ and Jack, de-allocation of un-needed space is the responsibility of the programmer, while in others, like Java, "garbage collection" occurs automatically. The RAM segment from which memory is dynamically allocated is called *heap*, and the agent responsible for managing this resource is the operating system.

Operating systems use various techniques for handling dynamic memory allocation and deallocation. These techniques are implemented in two functions traditionally called alloc() and deAlloc(). We present two memory allocation algorithms: a basic one and an improved one. **Basic memory allocation algorithm:** The data structure that this algorithm manages is a single pointer, called *free*, which points to the beginning of the heap segment that was not yet allocated. Algorithm 12.6a gives the details.

```
Initialization: free = heapBase
// Allocate a memory block of size words.
alloc(size):
    pointer = free
    free = free + size
    return pointer
// De-allocate the memory space of a given object.
deAlloc(object):
    do nothing
```

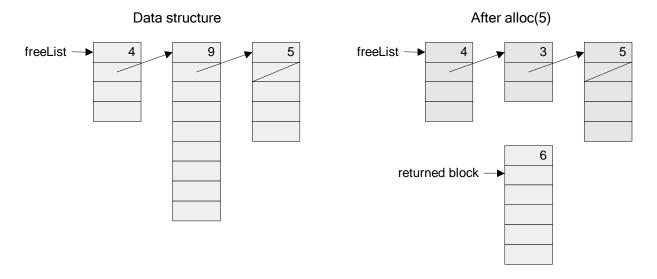
### ALGORITHM 12.6a: Basic memory allocation scheme (wasteful)

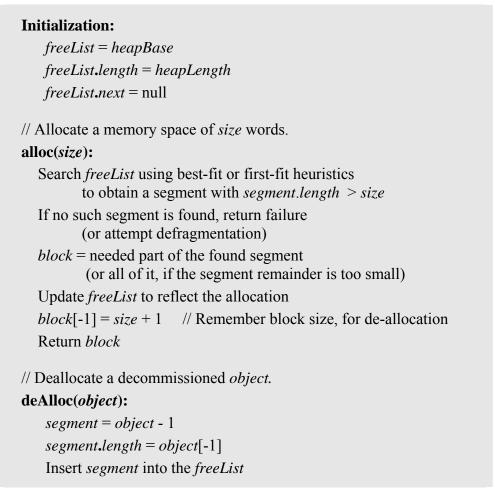
Algorithm 12.6a is clearly wasteful, as it does not reclaim the space of decommissioned objects.

**Improved memory allocation algorithm:** This algorithm is also able to reclaim de-allocated memory. It manages a linked list of available memory segments, called *freeList*. Each segment contains two housekeeping fields: the segment's length, and a pointer to the next segment in the list. These fields can be physically kept in the segment's first two memory locations. For example, the implementation can use the convention segment.length==segment[0] and segment.next==segment[1]. The top left of Algorithm 12.6b illustrates a typical *freeList* state.

When asked to allocate a memory block of some given size, the algorithm has to search the *freeList* for a suitable segment. There are two well-known heuristics for doing this search. *Best-fit* finds the segment whose length is the closest (from above) to the required size, while *first-fit* finds the first segment that is long enough. Once a suitable segment has been found, the required memory block is taken from it (the location just before the beginning of the returned block, block[-1], is reserved to hold its length, to be used during de-allocation). Next, this segment is updated in the *freeList*, becoming the part that remained after the allocation. If no memory was left in the block, or if the remaining part is practically too small, the entire segment is eliminated from the *freeList*.

When asked to reclaim the memory block of an unused object, the algorithm appends the deallocated block to the *freeList*. The details are given in Algorithm 12.6b.





#### ALGORITHM 12.6b: Improved Memory Allocation Scheme (with recycling)

After a while, dynamic memory allocation schemes like Algorithm 12.6b may create a block fragmentation problem. Hence, some kind of "defrag" operation should be considered, i.e.

merging memory areas that are physically consecutive in memory but logically split into different segments in the *freeList*. The de-fragmentation operation can be done each time an object is de-allocated, or when alloc() fails to find an appropriate block, or according to some other intermediate or ad-hoc condition.

# 12.1.4 Variable-length arrays and Strings

Suppose we want to use high-level operations like s1="New York" or s2=readLine("enter a city"). How can we implement these variable-length abstractions? The common approach in modern languages is to use a string class that supplies services for creating and manipulating string objects. The string object can be physically realized using an array. Normally, when the string is created, this array is allocated to hold some maximum possible length. The actual length of the string at each point of time may be shorter than this maximum, and must be maintained throughout the string object's life cycle. For example, if we issue a command like s1.eraseLastChar(), the actual length of s1 should decrease from 8 to 7 (although the length of the initially created array does not change). In general then, array locations beyond the current length are not considered part of the string contents.

Most programming languages feature string types, as well as other data types of variable lengths. The string objects are usually provided by the language's standard library, e.g. the string and StringBuffer classes in Java or the strxxx functions in C.

# 12.1.5 Input/Output Management

Computers are typically connected to a variety of input-output devices such as keyboard, screen, mouse, disk, network card, etc. Each of these I/O devices has its own electro-mechanical and physical idiosyncrasies, and thus reading and writing data on them involves many technical details. High-level languages abstract these details away from the programmer using high-level operations like "c=readChar()" and "printChar(c)". These operations are implemented by OS routines that carry out the actual I/O.

Hence, an important function of the operating system is handling the various I/O devices connected to the computer. This is done by encapsulating the details of interfacing the device and by providing convenient access to its basic functionality, using a set of O/S routines collectively known as the *device driver*. In this book we describe the basic elements of handling the two most prevalent I/O devices: a screen and a keyboard. We divide the handling of the screen into two logically separate modules: handling *graphics output* and handling *character output*.

# 12.1.5.1 Graphics output

**Pixel drawing:** Most computers today use *raster*, also called *bitmap*, display technologies. The only primitive operation that can be physically performed in a bitmap screen is drawing an individual *pixel* -- a single "dot" on the screen specified by (*column*, *row*) coordinates. The usual convention is that columns are numbered from left to right (like the conventional *x*-axis) while rows are numbered from the top down (opposite of the conventional *y*-axis). Thus the screen coordinates of the top left pixel are (0,0).

The low level drawing of a single pixel is a hardware-specific operation that depends on the particular interface of the screen and the underlying graphics card. If the screen interface is based on a RAM-resident *memory map*, as in Hack, then drawing a pixel is achieved by writing the proper binary value into the RAM location that represents the required pixel in memory.

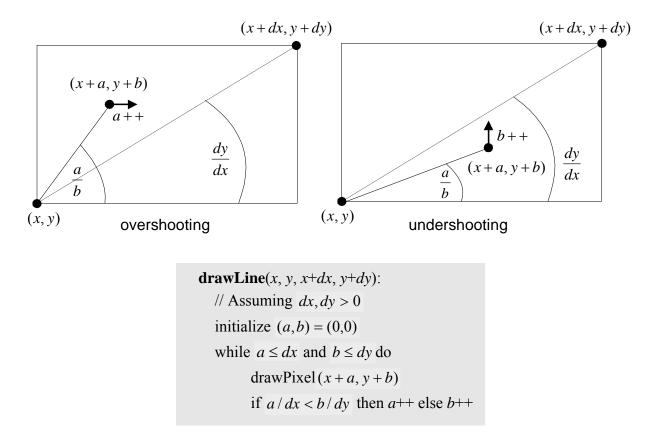
drawPixel (x, y):
 // Hardware-specific.
 // Assuming a memory mapped screen:
 Write a predetermined value in the RAM
 location corresponding to screen location (x, y).

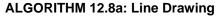
### ALGORITHM 12.7: Drawing a pixel.

The memory map interface of the Hack screen was described in Section 2.4 of Chapter 5. Formulating a drawPixel algorithm that follows this contract is a simple task left to the reader as an exercise. So, now that we know how to draw a single pixel, let us turn to describe how to draw lines and circles.

**Line drawing:** When asked to draw a line between two locations on a bitmap screen, the best that we can possibly do is approximate the line by drawing a series of pixels along the imaginary line connecting the two points. Note that the "pen" that we use can move in four directions only: up, down, left, and right. Thus the drawn line is bound to be jagged, and the only way to make it look good is to use a high-resolution screen. Since the receptor cells in the human eye's retina also form a grid of "input pixels," there is a limit to the image granularity that the human eye can resolve anyway. Thus, high-resolution screens and printers can fool the human eye to believe that the lines drawn by pixels or printed dots are visibly smooth. In fact they are always jagged.

The procedure for drawing a line from location (x1, y1) to location (x2, y2) starts by drawing the (x1, y1) pixel and then zigzagging in the direction of (x2, y2), until this pixel is reached. See figure 12.8a for the details.





To extend Algorithm 12.8a into a general-purpose line drawing routine, one also has to take care of the possibilities dx, dy < 0, dx > 0, dy < 0, and dx < 0, dy > 0. To complete the picture, note that the special cases dx = 0 or dy = 0, required for drawing vertical and horizontal lines, are not handled by the algorithm. These widely used cases should probably benefit from a separate and optimized treatment anyway.

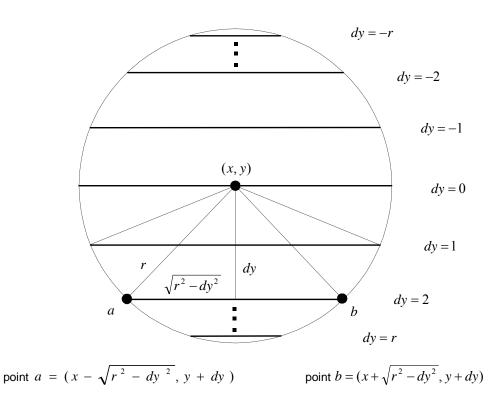
An annoying feature of Algorithm 12.8a is the use of division operations in each loop iteration. These division operations are not only time-consuming -- they also require floating point operations rather than simple integer arithmetic. The first obvious solution is to replace the a/dx < b/dy condition with the equivalent  $a \cdot dy < b \cdot dx$ , which requires only integer multiplication. Further, careful inspection of the algebraic structure of the latter condition reveals that it may be checked without using any multiplication at all. As shown in Algorithm 12.8b, this may be done efficiently by maintaining a variable that updates the value of  $a \cdot dy - b \cdot dx$  each time either *a* or *b* are modified.

// To test whether a / dx < b / dy, maintain a variable adyMinusbdx,</li>
// and test if it becomes negative.
Initialization: set adyMinusbdx = 0
When a++ is performed: set adyMinusbdx = adyMinusbdx + dy
When b++ is performed: set adyMinusbdx = adyMinusbdx - dx

#### ALGORITHM 12.8b: Testing whether a / dx < b / dy

using addition operations only.

**Circle drawing:** There are several ways to draw a circle on a bitmap screen. We present an algorithm that uses three routines already implemented in this chapter: *multiplication*, *square root* computation, and *line drawing*.



drawCircle(x, y, r): for each  $dy \in -r \dots r$  do drawLine from  $(x - \sqrt{r^2 - dy^2}, y + dy)$  to  $(x + \sqrt{r^2 - dy^2}, y + dy)$ 

#### ALGORITHM 12.9: Circle Drawing

The algorithm is based on drawing a series of horizontal lines (like the typical line *ab* in the figure), one for each row in the range y-r to y+r. Since *r* is specified in pixels, the algorithm

ends up drawing a line in every screen row along the circle's north-south diameter, resulting in a completely filled circle. A trivial tweaking of this algorithm can yield an empty circle as well.

Note that Algorithm 12.9 is somewhat inefficient, since the square root computation in each iteration is an expensive operation. There exist many other circle drawing algorithms, including ones that involve addition operations only, in the same spirit of our line-drawing algorithm.

### 12.1.5.2 Character Output

All the output that we described so far was graphical: pixels, lines, and circles. We now turn to describe how *characters* are printed on the screen. Well, pixel by pixel, using the good services of the operating system. Here are the details.

To develop a capability to write text on a bitmap screen, we first have to divide the physical pixel-oriented screen into a logical, character-oriented screen suitable for writing complete characters. For example, consider a 256 rows by 512 columns screen. If we allocate a grid of 11\*8 pixels for drawing a single character (11 rows, 8 columns), then our screen can show 23 lines of 64 characters each (with 3 extra rows of pixels left unused).

Next, for each character that we want to display on the screen, we can design a good-looking *font*, and then implement the font using a series of character bitmaps. For example, figure 12.10 gives a possible bitmap for the letter "A".

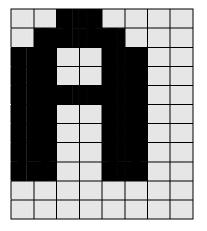


FIGURE 12.10: Character bitmap of the letter "A".

Note that in order for our display scheme to account for the requisite inter-character spacing, we must make sure that the 11\*8 bitmap of each character includes at least a 1-pixel space before the next characters and at least a 1 pixel space between adjacent lines (the exact spacing may vary with the size of the individual characters).

Characters are usually drawn on the screen one after the other, from left to right. For example, the two commands print("a") and print("b") probably mean that the programmer wants to see the image "ab" drawn on the screen. Thus the character-writing package must maintain a "cursor" object that keeps track of the screen location where the next character should be drawn. The cursor information consists of *line* and *column* counts. For example, the character screen

described at the section's beginning is characterized (excuse the pun) by  $0 \le line \le 22$  and  $0 \le column \le 63$ . Drawing a single character at location (*line*, column) is achieved by writing the character's bitmap onto the box of pixels at rows *line*  $\cdot 11 \dots line \cdot 11 + 10$  and column  $\cdot 8 \dots column \cdot 8 + 7$ . After the character has been drawn, the cursor should be moved one step to the right (i.e. column = column + 1), and, when a new line is requested, row should be increased by 1 and column reset to 0. When the bottom of the screen is reached, there is a question of what to do next, the common solution being to effect a "scrolling" operation. Another possibility is starting over at the top left corner, namely setting the cursor to (0,0).

To conclude, we know how to write characters on the screen. Writing other types of data follows naturally from this basic capability: *strings* are written character by character; *numbers* are first converted to strings and then written as strings, and so on.

## 12.1.5.3 Keyboard Handling

Handling user-supplied text input is more involved than meets the eye. For example, consider the command name=readLine("enter your name:"). The low-level implementation of this command is not trivial, since it involves an unpredictable event: a human user is supposed to press some keys on the keyboard before this code can terminate properly. And the problem, of course, is that human users press keyboard keys for variable durations of time. Hence, the trick is to encapsulate the handling of all these messy low-level details in OS routines like readLine, freeing high-level programs from this tedium.

This section describes how the operating system manages text-oriented input in three increasing levels of abstraction: (i) detecting which key is currently pressed on the keyboard, (ii) capturing single-character inputs, and (iii) capturing multi-character inputs, i.e. strings.

**Detecting keyboard input:** In the lowest-level form of capturing keyboard input, the program gets data directly from the hardware, indicating which key is currently pressed by the user. The access to this raw data depends on the specifics of the keyboard interface. For example, if the interface is a *memory map* which is continuously refreshed from the keyboard, as in Hack, we can simply inspect the contents of the relevant RAM area to determine which key is presently pressed. The details of this inspection can then be incorporated into the implementation of Algorithm 12.11.

keyPressed():
// Depends on the specifics of the keyboard interface
if a key is presently pressed on the keyboard
return the ASCII value of the key
else
return 0

### ALGORITHM 12.11: Capturing "raw" keyboard input.

For example, if you know the RAM address of the keyboard memory map in the host computer, the implementation of this algorithm entails nothing more than a memory lookup.

**Reading a single character:** The elapsed time between "key pressed" and "key released" events is unpredictable. Hence, we have to write code that neutralizes this variation. Also, when users press keys on the keyboard, we usually want to give feedback as to which keys have been pressed (something that you have probably grown to take for granted). Typically, we want to display some graphical cursor at the screen location where the next input "goes" and, after some key has been pressed, we typically want to echo the inputted character by displaying its bitmap on the screen at the cursor location. This logic is implemented in Algorithm 12.12.

**Reading a string:** Usually, a multi-key input typed by the user is considered final only after the "enter" key has been pressed, yielding the *newline* character. And, until the "enter" key is pressed, the user should be allowed to backspace and erase previously typed characters. The code that implements this logic and renders its visual effect is given in Algorithm 12.13.

readChar():	readLine():				
// Read and echo a single character	<pre>// Read and echo a "line" (until newline) s = empty string repeat</pre>				
display the cursor					
while no key is pressed on the keyboard					
do nothing // wait till a key is pressed	c = readChar()				
c = code of currently pressed key	if $c$ = newline character				
while a key is pressed	print newline return s				
do nothing // wait for the user to let go	else if $c$ = backspace character				
print c at the current cursor location	remove last character from s				
move the cursor one position to the right	move the cursor 1 position back				
return c	else $s = s$ .append( $c$ )				

### ALGORITHMS 12.12 and 12.13: Capturing "cooked" keyboard input.

As usual, our input handling solutions are based on a cascading series of abstractions: the highlevel program relies on the readLine abstraction, which relies on the readChar abstraction, which relies on the keyPressed abstraction, which relies on the hardware.

# 12.2 The Jack OS Specification

The previous section presented a series of algorithms that address some classic operating system tasks. In this section we turn to formally specify one particular operating system -- the Jack OS -- in API form. Since the Jack OS can also be viewed as an extension of the Jack programming language, this documentation duplicates exactly "The Jack Standard Library" section from Chapter 9. In Chapter 9, the OS specification was intended for programmers who want to use its abstract services; in this chapter, the OS specification is intended for programmers who have to implement these services. Technical information and implementation tips follow in Section 3.

The operating system is organized in eight classes:

- Math: Provides basic mathematical operations;
- String: Implements the String type and string-related operations;
- Array: Implements the Array type and array-related operations;
- **Output:** Handles text output to the screen;
- Screen: Handles graphic output to the screen;
- **Keyboard:** Handles user input from the keyboard;
- **Memory:** Handles memory operations;
- Sys: Provides some execution-related services.

## Math

This class enables various mathematical operations.

- function void init(): for internal use only.
- function int abs(int x): returns the absolute value of x.
- function int multiply(int x, int y): returns the product of x and y.
- function int divide(int x, int y): returns the integer part of x/y.
- function int min(int x, int y): returns the minimum of x and y.
- function int max(int x, int y): returns the maximum of x and y.
- function int sqrt(int x): returns the integer part of the square root of x.

# String

This class implements the string data type and various string-related operations.

- constructor String new(int maxLength): constructs a new empty string (of length zero) that can contain at most maxLength characters.
- method void dispose(): disposes this string.
- method int length(): returns the length of this string.
- method char charAt(int j): returns the character at location j of this string.
- method void setCharAt(int j, char c): sets the j-th element of this string to c.
- method String appendChar(char c): appends c to this string and returns this string.
- method void eraseLastChar(): erases the last character from this string.
- method int intvalue(): returns the integer value of this string (or the string prefix until a non-digit character is detected).
- method void setInt(int j): sets this string to hold a representation of j.
- function char backspace(): returns the backspace character.

- function char doubleQuote(): returns the double quote (") character.
- function char newLine(): returns the newline character.

## Array

This class enables the construction and disposal of arrays.

- function Array **new**(int size): constructs a new array of the given size.
- method void dispose(): disposes this array.

# Output

This class allows writing text on the screen.

- function void init(): for internal use only.
- function void moveCursor(int i, int j): moves the cursor to the j-th column of the i-th row, and erases the character displayed there.
- function void printChar(char c): prints c at the cursor location and advances the cursor one column forward.
- function void printstring(String s): prints s starting at the cursor location and advances the cursor appropriately.
- function void printInt(int i): prints i starting at the cursor location and advances the cursor appropriately.
- function void println(): advances the cursor to the beginning of the next line.
- function void backSpace(): moves the cursor one column back.

# Screen

This class allows drawing graphics on the screen. Column indices start at 0 and are left-to-right. Row indices start at 0 and are top-to-bottom. The screen size is hardware-dependent (in the Hack platform: 256 rows by 512 columns).

- function void init(): for internal use only.
- function void clearscreen(): erases the entire screen.
- function void setColor(boolean b): sets a color (white=false, black=true) to be used for all further drawXXX commands.
- function void drawPixel(int x, int y): draws the (x,y) pixel.
- function void drawLine(int x1, int y1, int x2, int y2): draws a line from pixel (x1,y1) to pixel (x2,y2).
- function void drawRectangle(int x1, int y1, int x2, int y2): draws a filled rectangle whose top left corner is (x1, y1) and bottom right corner is (x2,y2).
- function void drawCircle(int x, int y, int r): draws a filled circle of radius r<=181 around (x,y).</p>

# Keyboard

This class allows reading inputs from a standard keyboard.

- function void init(): for internal use only.
- function char keyPressed(): returns the character of the currently pressed key on the keyboard; if no key is currently pressed, returns 0.
- function char readChar(): waits until a key is pressed on the keyboard and released, then echoes the key to the screen and returns the character of the pressed key.
- function String readLine(String message): prints the message on the screen, reads the line (text until a newline character is detected) from the keyboard, echoes the line to the screen, and returns its value. This function also handles user backspaces.
- function int readInt(String message): prints the message on the screen, reads the line (text until a newline character is detected) from the keyboard, echoes the line to the screen, and returns its integer value (until the first non-digit character in the line is detected). This function also handles user backspaces.

# Memory

This class allows direct access to the main memory of the host platform.

- function void init(): for internal use only.
- function int peek(int address): returns the value of the main memory at this address.
- function void poke(int address, int value): sets the contents of the main memory at this address to value.
- function Array alloc(int size): finds and allocates from the heap a memory block of the specified size and returns a reference to its base address.
- function void deAlloc(Array o): De-allocates the given object and frees its memory space.

# Sys

This class supports some execution-related services.

- function void init(): calls the init functions of the other OS classes and then calls the Main.main() function. For internal use only.
- function void halt(): halts the program execution.
- function void error(int errorCode): prints the error code on the screen and halts.
- function void wait(int duration): waits approximately *duration* milliseconds and returns.

# 12.3 Implementation

The operating system described in the previous section can be implemented as a collection of Jack classes. Each OS subroutine can be implemented as a Jack constructor, function, or method. The API of all these subroutines was given in Section 2, and key algorithms were presented in Section 1. This section provides some additional hints and suggestions for completing this implementation. Final technical details and test programs for unit-testing all the OS services are given in Section 5. Note that most of the subroutines specified in the OS API are rather simple, requiring straightforward Jack programming. Thus we will focus here only on the implementation of selected OS subroutines.

Some OS classes require class-level initialization. For example, some mathematical functions can run quicker if they can use previously calculated values, kept in some static array, constructed once and for all in the Math class. As a rule, when an OS class xxx needs some initialization code, this code should be embedded in a single function called xxx.init(). Later in this section we explain how these init() functions are activated when the computer boots up and the OS starts running.

## Math

Math.multiply(), Math.divide(): Algorithms 12.1 and 12.2 are designed to operate on nonnegative integers only. A simple way of handling negative numbers is applying the algorithms on absolute values and then setting the sign appropriately. For the multiplication algorithm, this is not really needed: it turns out that if the multiplicands are given in 2's complement, their product will be correct with no further ado.

Note that in each iteration *j* of Algorithm 12.1, the *j*-th bit of the second number is extracted. We suggest to encapsulate this operation as follows:

bit(x, j): Returns true if the *j*-th bit of the integer x is 1 and false otherwise.

The bit(x,j) function can be easily implemented using shifting operations. Alas, Jack does not support shifting. Instead, to speed up this function implementation in Jack, it may be convenient to define a fixed static array of length 16, say twoToThe[j], whose *j*-th location holds the value 2 to the power of *j*. This array may be initialized once (in Math.init), and then used, via bitwise Boolean operations, in the implementation of bit(x,j).

In Algorithm 12.2, y is multiplied by a factor of 2 until y>x. A detail that needs to be taken into account is that y can overflow. The overflow can be detected by noting when y becomes negative.

**Math.sqrt():** Since the calculation of  $(y+2^j)^2$  in Algorithm 12.3 can overflow, the result may be an abnormally negative number. This problem can be addressed by (efficiently) changing the algorithm's *if* logic to:

if  $((y+2^{j})^{2} \le x)$  and  $((y+2^{j})^{2} > 0)$  then  $y = y+2^{j}$ 

# String

As explained in section 12.1.4, string objects can be implemented as arrays. In a similar vein, all the string related services can be implemented as operations on arrays. An important implementation detail is that the actual length of the string must be maintained throughout these operations, and that array entries beyond this length are not considered part of the string.

**string.intValue**, **string.setInt**: These functions can be implemented using Algorithms 12.4 and 12.5, respectively. Note that both algorithms don't handle negative numbers -- a detail that must be handled by the implementation.

All other subroutines in this class are straightforward. Note that the ASCII codes of newline, backspace and doubleQuote are 128, 129 and 34 respectively.

# Array

Note that Array.new() is not a constructor, but rather a function (despite its name). Therefore, memory space for a new array should be explicitly allocated using a call to Memory.alloc(). Similarly, de-allocation of arrays must be done explicitly using Memory.deAlloc().

# Output

**Character bitmaps:** We suggest using character bitmaps of 11 rows by 8 columns, leading to 23 lines of 64 characters each. Since designing and building bitmaps for all the printable ASCII characters is quite a burden, we supply predefined bitmaps (except for one or two characters, left to you as an exercise). Specifically, we supply a skeletal Output class containing Jack code that defines, for each printable ASCII character, an array that holds its bitmap (implementing a font that we created). The array consists of 11 entries, each corresponding to a row of pixels. In particular, the value of entry *j* is a binary number whose bits represent the 8 pixels that render the character's image in the *j*-th row of its bitmap.

## Screen

**Screen.drawPixel()**: Drawing a pixel on the screen is done by directly accessing the screen's memory map using Memory.peek() and Memory.poke(). Recall that the memory map of the screen on the Hack platform specifies that the pixel at column c and row r  $(0 \le c \le 511, 0 \le r \le 255)$  is mapped to the c%16 bit of memory location  $16384 + r \cdot 32 + c/16$ . Notice that drawing a single pixel requires changing a single bit in the accessed word, a task that can be achieved in Jack using bit-wise operations.

**screen.drawLine()**:Algorithm 12.8a can potentially lead to overflow. However, the efficiency improvement suggested in Algorithm 12.8b also eliminates the overflow problem.

screen.drawCircle(): Likewise, Algorithm 12.9 can potentially lead to overflow. Limiting circle radii to be at most 181 is a reasonable solution to this problem.

## Keyboard

In the Hack platform, the memory map of the keyboard is a single 16-bit word located at memory address 24576.

**Keyboard.keyPressed()**: This function provides "raw" (direct) access to this memory location and can be implemented easily using Memory.peek().

**Keyboard.readChar**, **Keyboard.readString**: These functions provide "cooked" access to single character inputs and to string inputs, respectively. Proposed cooking instructions appear in Algorithms 12.12 and 12.13.

## Memory

Memory.peek(), Memory.poke(): These functions are supposed to provide direct access to the underlying memory. How can this be accomplished? As it turns out, the Jack language includes a trapdoor that enables programmers to gain complete control of the computer's memory. This hacking trick can be exploited to implement peek and poke using plain Jack programming.

The trick is based on an anomalous use of reference variables (pointers). Specifically, the Jack language does not prevent the programmer from assigning a constant to a reference variable. This constant can then be treated as an absolute memory address. In particular, when the reference variable happens to be an array, this trick can give convenient and direct access to the entire computer memory:

// To create a Jack-level "proxy" of the RAM: var Array memory; let memory = 0; // From this point on we can use code like: let x = memory[j] // Where j is any RAM address let memory[j] = y // Where j is any RAM address

**PROGRAM 12.14: A trapdoor** enabling complete control of the RAM from Jack.

Following the first two lines of Program 12.14, the base of the memory array points to the first address in the computer's RAM. To set or get the value of the RAM location whose physical address is j, all we have to do is manipulate the array entry memory[j]. This will cause the compiler to manipulate the RAM location whose address is 0+j, which is precisely what is desired.

As we have pointed out earlier, Jack arrays are not allocated space on the heap at compile-time, but rather at run-time, when the array's new function is called. Here, however, a new initialization will defeat the purpose, since the whole idea is to anchor the array in a particular address rather then let the OS allocate it to an address in the heap that we don't control. In short, this hacking trick works because we use the array variable without initializing it "properly", as we would do in normal usage of arrays.

Memory.alloc(), Memory.deAlloc(): These functions can be implemented by either Algorithm 12.6a or 12.6b (using either *best-fit* or *first-fit*). The standard implementation of the VM over the Hack platform specifies that the heap resides at RAM locations 2048-16383.

# Sys

**Sys.init:** An application program written in Jack is a set of classes. One class must be named Main, and this class must include a function named main. In order to start running the application program, the Main.main() function should be invoked. Now, it should be understood that the operating system is itself a program (set of classes). Thus, when the computer boots up, we want to start running the operating system program first, and then we want the OS to start running the main program.

With that in mind, the chain of command is implemented as follows. First, the VM specification (Chapter 8) includes a bootstrap code that automatically invokes a function called  $s_{ys.init()}$ . This function, which is assumed to exist in the OS's  $s_{ys}$  class, should then call all the init() functions of the other OS classes, and then call Main.main(). This latter function is assumed to exist in the application program.

**Sys.wait:** This function can be implemented pragmatically, under the limitations of the simulated Hack platform. In particular, you can use a loop that runs approximately *n* milliseconds before it (and the function) returns. You will have to time your specific computer to obtain a one millisecond wait, as this constant varies from one CPU to another. As a result, your Sys.wait() function will not be portable, but that's life.

sys.halt: This function can be implemented by entering an infinite loop.

# 12.4 Perspective

The software library presented in this chapter includes some basic services found in most operating systems, e.g. managing memory, driving I/O, handling initialization, supplying mathematical functions not implemented in hardware, and implementing abstract data types like the string abstraction. We have chosen to call this standard software library an "operating system" to reflect its two main functions: encapsulating the gory hardware details, omissions, and idiosyncrasies in a transparent software packaging, and enabling other programs to use its services via a clean interface. However, the gap between what we have called here an OS and industrial strength operating systems remains wide.

For starters, our OS lacks some of the very basic components most closely associated with operating systems. For example, our OS supports neither multi-threading nor multi-processing; in contrast, the very kernel of most operating systems is devoted to exactly that. Our OS has no mass storage devices; in contrast, the main data store kept and handled by operating systems is a file system abstraction. Our OS has neither a "command line" interface (as in a Unix shell or a DOS window) nor a graphical one (windows, mouse, icons, etc.); in contrast, this is the operating system aspect that users expect to see and interact with. Numerous other services commonly found in operating systems are not present in our OS, e.g. security, communication, and more.

Another major difference lies in the interplay between the OS code and the user code. In most computers, the OS code is considered "privileged" – the hardware platform forbids user code to perform various operations allowed exclusively to OS code. Consequently, access to operating system services requires a mechanism that is more elaborate than a simple function call. Further, programming languages usually wrap these OS services in regular functions or methods. In contrast, in the Hack platform there is no difference between OS code and user code, and operating system services run in the same "user mode" as that of application programs.

In terms of efficiency, the algorithms that we presented for multiplication and division were standard. These algorithms, or variants thereof, are typically implemented in hardware rather than in software. The running time of these algorithms is O(n) addition operations. Since adding two *n*-bit numbers requires O(n) bit operations (gates in hardware), these algorithms end up requiring  $O(n^2)$  bit operations. There exist multiplication and division algorithms whose running time is asymptotically significantly faster than  $O(n^2)$ , and, for a large number of bits, these algorithms are more efficient. In a similar fashion, optimized versions of the geometric operations that we presented (e.g. line- and circle-drawing) are often also implemented in special graphics-acceleration hardware.

Readers who wish to extend the OS functionality are welcome to do so, as we comment in Chapter 13.

# 12.5 Project

**Objective:** Implement the operating system described in the chapter. Each of the OS classes can be implemented and unit-tested in isolation, and in any particular order.

**Resources:** The main tool that you need in this project is Jack -- the language in which you will develop the OS. Therefore, you will also need the supplied Jack compiler, to compile your OS implementation as well as the supplied test programs. In order to facilitate partial testing of the OS, you will also need the complete executable OS, consisting of a collection of .vm files (one for each OS class). Finally, you will need the supplied VM Emulator. This program will be used as the platform on which the actual test takes place.

**Contract:** Write a Jack OS implementation and test it using the programs and testing scenarios described below. Each test program uses a certain subset of OS services.

## **Testing Strategy**

We suggest developing and unit-testing each OS class in isolation. This can be done by compiling the OS class that you write and then putting the resulting .vm file in a directory that contains the supplied .vm files of the rest of the OS. In particular, it is recommended to use the routine described below.

To develop, compile, and test, each OS class Xxx. jack in isolation:

- 1. Put, in the same directory, the following items: the OS class xxx.jack that you are developing, all the supplied OS .vm files, and the relevant supplied test program (a collection of one or more .jack files);
- 2. Compile the directory using the supplied Jack compiler. This will result in compiling your XXX. jack OS class as well as the class files of the test program. In the process, a new XXX.vm file will be created, replacing the originally supplied OS class. That's exactly what we want: the directory now contains the executable test program, the complete OS minus the original XXX.vm OS class, plus your version of XXX.vm.
- 3. Load the directory's code (OS + test program) into the VM Emulator;
- 4. Execute the code and check if the OS services are working properly according to the guidelines given below for each OS class.

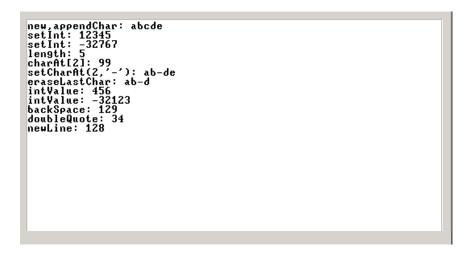
# **OS Classes and Test Programs**

There are eight OS classes: Memory, Array, Math, String, Screen, Keyboard, and Sys. For each OS class XXX we supply a skeletal XXX.jack class file with all the required subroutine signatures, a corresponding test class named Main.jack, and related test scripts.

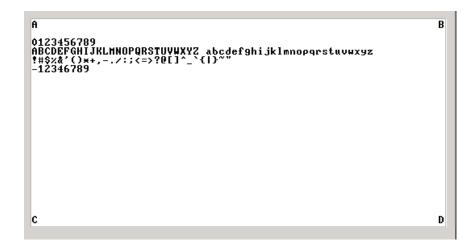
**Memory, Array, Math:** To test your implementation of every one of these OS classes, compile the relevant directory, execute the supplied test script on the VM Emulator, and make sure that the comparison with the compare file ends successfully.

Note that the supplied test programs don't comprise a full test of the Memory.alloc and Memory.deAlloc functions. A complete test of these memory management functions requires inspecting internal implementation details not visible in user-level testing. Thus it is recommended to test these two functions using step-by-step debugging in the VM emulator.

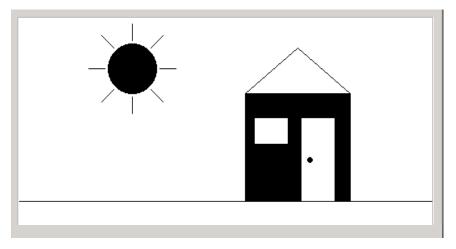
String: Execution of the corresponding test program should yield the following output:



**Output:** Execution of the corresponding test program should yield the following output:



Screen: Execution of the corresponding test program should yield the following output:



**Keyboard:** This OS class is tested using a test program that effects some user-program interaction. For each function in the Keyboard class (keyPressed, readChar, readLine, readInt) the program requests the user to press some keyboard keys. If the function is implemented correctly and the requested keys are pressed, the program prints the text "ok" and proceeds to test the next function. If not, the program repeats the request for the same function. If all requests end successfully, the program prints 'Test ended successfully', at which point the screen may look as follows:

```
keyPressed test:

Please press the 'Page Down' key

ok

readChar test:

(Verify that the pressed character is echoed to the screen)

Please press the number '3': 3

ok

readLine test:

(Verify echo and usage of 'backspace')

Please type 'JACK' and press enter: JACK

ok

readInt test:

(Verify echo and usage of 'backspace')

Please type '-32123' and press enter: -32123

ok

Test completed successfully
```

**Sys:** Only two functions in this class can be tested: Sys.init and Sys.wait. The supplied test program tests the Sys.wait function by requesting the user to press any key, waiting for two seconds (using Sys.wait) and then printing another message on the screen. The time that elapses from the moment the key is released until the next message is printed should be two seconds.

The Sys.init function is not tested explicitly. However, recall that it performs all the necessary OS initializations and then calls the Main.main function of each test program. Therefore, we can assume that nothing would work properly unless Sys.init is implemented correctly. A simple way to test Sys.init in isolation is to run *Pong* using your Sys.vm file.

**Complete test:** After testing successfully each OS class in isolation, test your entire OS implementation using the *Pong* game, whose source code is available in projects/12/Pong. Put all your OS .jack files in the Pong directory, compile the directory, and execute the game in the VM emulator. If the game works, then congratulations: you are the proud owner of an operating system written entirely by you.